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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/774,415

02/10/2004

Malcolm Wilson Moon

034536-0919

CONFIRMATION NO. 9923

22428 FOLEY AND LARDNER SUITE 500 3000 K STREET NW WASHINGTON, DC 20007 FORMALITIES LETTER

OC000000012702503

Date Mailed: 05/20/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

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Commissioner for Patents

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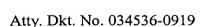
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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Malcolm Wilson MOON et al.

Title:

PRODRUGS OF 3-(PYRROL-2-YLMETHYLIDENE)-2-INDOLINONE

DERIVATIVES

Appl. No.:

10/774,415

Filing Date:

02/10/2004

Examiner:

Unassigned

Art Unit:

Unassigned

TRANSMITTAL

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed herewith please find:

- Copy of Notice to Comply (2 pages);
- Response to Notice to Comply (2 pages);
- Request for Corrected Filing Receipt (2 pages);
- Copy of official Filing Receipt (2 pages); and
- Supplemental Application Data Sheet (4 pages).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.18, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

21 June 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Malcolm Wilson MOON et al.

Title:

PRODRUGS OF 3-(PYRROL-2-YLMETHYLIDENE)-2-INDOLINONE

DERIVATIVES

Appl. No.:

10/774,415

Filing Date:

02/10/2004

Examiner:

Unassigned

Art Unit:

Unassigned

RESPONSE TO NOTICE TO COMPLY

Mail Stop Missing Parts Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

In response to the Notice to Comply mailed on May 20, 2004, in the above-identified application, the Applicants respectfully request that the requirement for a sequence listing be withdrawn. The terms listed throughout the application are simply English words and a sequence listing cannot be generated.

The present application is a continuation application of U.S. application Serial No. 10/243,942, filed 09/16/2002, now U.S. Patent No. 6,716,870, which is a divisional of U.S. application Serial No. 09/863,819, filed 02/19/2002, now U.S. Patent No. 6,482,848. A sequence listing was never filed nor required in any of the aforementioned parent applications.

Applicants believe there is no fee due, however, the Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount,

unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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